



PATENT  
Customer No. 22,852  
Attorney Docket No. 06530.0320

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Adam L. COHEN et al. ) Group Art Unit No. 3739  
Application No. 10/765,842 ) Examiner: KASZTEJNA, MATTHEW  
Filing Date: January 29, 2004 ) JOHN  
For: ENDOSCOPIC CHANNEL CAP ) Confirmation No. 2337

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R.**  
**§ 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the documents on the attached Form PTO/SB/08. This Supplemental Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement is accompanied by a fee of \$180.00 as specified by Section 1.17(p).

Copies of the listed foreign and non-patent literature documents are attached.

Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached Form PTO/SB/08.

Regarding the non-English language documents:

for JP 2004-344381 A, Applicants provide herewith an English language abstract;  
for JP 2004-344380 A, Applicants provide herewith an English language abstract;  
for JP 2004-298359 A, Applicants provide herewith an English language abstract;  
for JP 2004-229987 A, Applicants provide herewith an English language abstract;  
for JP 2004-222781 A, Applicants provide herewith an English language abstract;  
for JP 2004-222780 A, Applicants provide herewith an English language abstract;  
for JP 2003-220024 A, Applicants provide herewith an English language abstract;  
for JP 2003-210397 A, Applicants provide herewith an English language abstract;  
for JP 2003-038427 A, Applicants provide herewith an English language abstract;  
for JP 2002-143086 A, Applicants provide herewith an English language abstract;  
for JP 2002-136475 A, Applicants provide herewith an English language abstract;  
for JP 2002-028129 A, Applicants provide herewith an English language abstract;  
for JP 2001-346759 A, Applicants provide herewith an English language abstract;  
for JP 2001-231748 A, Applicants provide herewith an English language abstract;  
for JP 2001-218733 A, Applicants provide herewith an English language abstract;  
for JP 2001-218732 A, Applicants provide herewith an English language abstract;  
for JP 10-192229 A, Applicants provide herewith an English language abstract;  
for JP 10-192227 A, Applicants provide herewith an English language abstract;  
for JP 10-116560 A, Applicants provide herewith an English language abstract;

Supplemental Information Disclosure Statement Under 37 C.F.R. §1.97(c) - May 27, 2005

for JP 10-155735 A, Applicants provide herewith an English language abstract;  
for JP 09-215658 A, Applicants provide herewith an English language abstract;  
for JP 07-100097 A, Applicants provide herewith an English language abstract;  
for JP 03-111025 A, Applicants provide herewith an English language abstract;  
for JP 03-073127 A, Applicants provide herewith an English language abstract;  
for JP 02-200234 A, Applicants provide herewith an English language abstract;  
for DE 101 52 551 A1, Applicants provide herewith an English language abstract;

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement that is not otherwise provided, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 27, 2005

By:   
Michael W. Kim  
Reg. No. 51,880

Complete if Known

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of

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Application Number

10/765,842

Filing Date

January 29, 2004

First Named Inventor

Adam L. COHEN et al.

Art Unit

3739

Examiner Name

KASZTEJNA, Matthew John

Attorney Docket Number

06530.0320

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-2003/208104 A1	11-06-2003	Carrillo et al.	

**Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.**

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2004-344381 A	12-09-2004	Fuji Photo Optical Co. Ltd.		Abstract
		JP 2004-344380 A	12-09-2004	Fuji Photo Optical Co. Ltd.		Abstract
		JP 2004-298350 A	10-28-2004	Fuji Photo Optical Co. Ltd.		Abstract
		JP 2004-229987 A	08-19-2004	Fuji Photo Optical Co. Ltd.		Abstract
		JP 2004-222781 A	08-12-2004	Fuji Photo Optical Co. Ltd.		Abstract
		JP 2004-222780 A	08-12-2004	Fuji Photo Optical Co. Ltd.		Abstract
		JP 2003-220024 A	08-05-2003	Pentax Corp.		Abstract
		JP 2003-210397 A	07-29-2003	Pentax Corp.		Abstract
		JP 2003-038427 A	02-12-2003	Olympus Optical Co. Ltd.		Abstract
		JP 2002-143086 A	05-12-2002	Asahi Optical Co. Ltd.		Abstract
		JP 2002-136475 A	05-14-2002	Olympus Optical Co. Ltd.		Abstract
		JP 2002-028129 A	01-29-2002	Asahi Optical Co. Ltd.		Abstract
		JP 2001-346759 A	12-18-2001	Asahi Optical Co. Ltd.		Abstract
		JP 2001-231748 A	08-28-2001	Asahi Optical Co. Ltd.		Abstract
		JP 2001-218733 A	08-14-2001	Asahi Optical Co. Ltd.		Abstract
		JP 2001-218732 A	08-14-2001	Asahi Optical Co. Ltd.		Abstract
		JP 10-192229 A	07-28-1998	Fuji Photo Optical Co. Ltd.		Abstract
		JP 10-192227 A	07-28-1998	Fuji Photo Optical Co. Ltd.		Abstract
		JP 10-165360 A	06-23-1998	Fuji Photo Optical Co. Ltd.		Abstract
		JP 10-155735 A	06-16-1998	Fuji Photo Optical Co. Ltd.		Abstract
		JP 09-215658 A	08-19-1997	Asahi Optical Co. Ltd.		Abstract
		JP 07-100097 A	04-18-1995	Asahi Optical Co. Ltd.		Abstract
		JP 03-111025 A	05-10-1991	Olympus Optical Co. Ltd.		Abstract
		JP 03-073127 A	03-28-1991	Olympus Optical Co. Ltd.		Abstract
		JP 02-200234 A	08-08-1990	Olympus Optical Co. Ltd.		Abstract
		DE 101 52 551 A1	07-24-2003	Storz Martin		Abstract

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## Complete if Known

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STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Art Unit

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Examiner Name

KASZTEJNA, Matthew John

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## FOREIGN PATENT DOCUMENTS

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		International Search Report and Written Opinion for PCT/US2005/00028 dated April 29, 2005.	

Examiner  
SignatureDate  
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.